

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5:

G01N 31/22

(11) International Publication Number: WO 91/14942

(43) International Publication Date: 3 October 1991 (03.10.91)

(21) International Application Number:

PCT/US91/01974

(22) International Filing Date:

25 March 1991 (25.03.91)

(30) Priority data:

500,005

27 March 1990 (27.03.90) US

(71) Applicant: PACIFIC BIOTECH, INC. [US/US]; 9050 Camino Santa Fe, San Diego, CA 92121 (US).

(72) Inventors: FAN, Eugene; 7813 Esterel Drive, La Jolla, CA 92037 (US). TZENG, Sinfu; 450 Crestlake Drive, San Francisco, CA 94132 (US). CHEN, Fon-Chiu, Mia; 1513 Wilson Road, Ramona, CA 92065 (US). HUANG, Ching; 635 Indigo Canyon Road, Chula Vista, CA 92011 (US). WANG, Dou-Mei; 2216 Silver Peak Place, Encinitas, CA 92024 (US). POPEJOY, Theresa; 12907 Conley Street, Poway, CA 92064 (US).

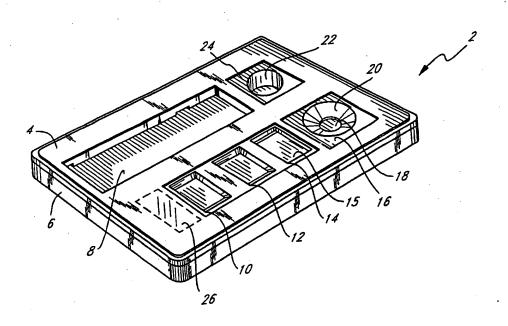
(74) Agents: SEWELL, Jerry, T. et al.; Knobbe, Martens, Olson and Bear, 620 Newport Center Drive, 16th Floor, Newport Beach, CA 92660 (US).

(81) Designated States: AT (European patent), AU, BE (European patent), CA, CH (European patent), DE (European patent), DK (European patent), ES (European patent), FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, LU (European patent), NL (European patent), SE (European patent).

Published

With international search report.

(54) Title: SOLID PHASE IMMUNOASSAY DEVICE AND METHOD OF MAKING SAME



(57) Abstract

In response to an express need for an immunoassay device with universal applicability, which further promotes the goals of inventory reduction and simplified, less costly manufacture, the applicants hereby disclose a container for holding materials used in conjunction with an immunoassay, comprising a housing having an inside, an outside, and a top (4) wherein the top is provided with a plurality of apertures (8, 10, 12, 14, 16, 22) communicating with the inside of the housing, and a thin web of opaque material applied over the top of the housing covering at least one but not all of the apertures.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

АT	Austria	ES	Spain	MG	Madagascar
· AU	Australia	FI	Finland	ML	Mali
BB	Barbados	FR	France ·	MN	Mongolia
BE	Belgium	GA	Gabon	MR	Mauritania
BF	Burkina Faso	GB	United Kingdom	MW	Malawi
BG	Bulgaria	GN	Guinea	NL	Netherlands
BJ	Benin	GR	Greece	NO	Norway
BR	Brazil	HU	Hungary	PL	Poland
CA	Canada	Τí	Italy	RO	Romania
CF	Central African Republic	JP	Japan	SD	Sudan
CG	Congo	KP	Democratic People's Republic	SE	Sweden
CH	Switzerland		of Korca	SN	Senegal
Cl	Côte d'Ivoire	KR	Republic of Korea	SU	Soviet Union
CM	Cameroon	LI	Liechtenstein	TD	Chad
CS	Czechoslovakia	LK	Sri Lanka	TG	Togo
DE	Germany	LU	Luxembourg	US	United States of America
DK	Denmark	MC	Monaco		

25

30

35

SOLID PHASE IMMUNOASSAY DEVICE AND METHOD OF MAKING SAME

BACKGROUND OF THE INVENTION

presently coexist on the market. In addition to devices requiring a multiplicity of tubes, vials and support structures, there are now various immunoassay devices encased in rigid containers or cases of varying designs, shapes and colors. For the individual manufacturer who produces a variety of devices, variations in container formats increase production costs, largely because ther is no uniformity of manufacturing or assembly techniques, components differ, and labeling of each type of device must necessarily change as well.

Therefore, in response to an express need for an immunoassay device with universal applicability, which further promotes the goals of inventory reduction and simplified, less costly manufacture, the Applicants hereby disclose the present invention, including equivalents thereof.

SUMMARY OF THE INVENTION

According to one aspect of the present invention, there is provided a container for holding materials used in conjunction with an immunoassay, comprising a housing having an inside, an outside, and a top, wherein the top is provided with a plurality of apertures communicating with the inside of the housing, and a thin web of opaque material applied over the top of the housing covering at least one but not all of the apertures. In a preferred embodiment, the container further comprises wicking material inside the housing extending between at least two of the apertures, wherein a first aperture is adapted to receive a sample and deposit it on a first portion of the wicking material, and a second the aperture is adapted to

WO 91/14942 PCT/US91/01974

-2-

5

10

15

20

25

30

35

permit viewing of the results of a completed assay on a second portion of the wicking material.

In yet another embodiment, the wicking material also extends to a third aperture, which aperture is adapted to permit application of reagents to the wicking material before application of the thin web to the housing, which third aperture is covered by the thin web. In another preferred embodiment, the wicking material also extends to a fourth aperture, the fourth aperture adapted to permit viewing of a signal on the wicking material indicative of completion of the assay. In another variation, the wicking material is in fluid flow contact with an absorbent material adjacent to the fourth aperture.

In another variation of the invention, the top of the housing further has a well formed therein for holding a container for liquid used in the assay. In another embodiment, the top of the container further has an opening therein for receiving a desiccant material, which opening is covered by the thin web. In a particularly preferred embodiment, the thin web comprises paper or plastic.

Another embodiment of the present invention is an immunoassay device, comprising a housing having an inside, an outside, and a top, wherein the top is provided with a plurality of apertures communicating with the inside of the housing, wicking material inside the housing, reagents used in the assay located on the wicking material, and an absorbent material in fluid contact with the wicking material, in such manner that reverse flow of tracer, label, specimen or specimen components back onto the wicking material is prevented. A thin web of opaque material may also be applied over the top of the housing.

Yet another embodiment of the present invention is an immunoassay device, comprising a housing having an inside, an outside, and a top, wherein the top is provided with a plurality of apertures communicating with the inside of the housing, and wicking material inside the housing extending

W U 71/14744

10

15

20

30

35

between at least three of the apertures (wherein a first such aperture is adapted to receive a sample and deposit it on a first portion of the wicking material, a second such aperture is adapted to permit viewing of the results of a completed assay on a second portion of the wicking material, and a third such aperture is located between the first and second apertures), reagents used in the assay located on the wicking material beneath the third aperture, a well formed in the housing adapted to hold a container of liquid used in the assay, and a thin web of opaque material applied over the top of the housing covering one or more of the well and the third aperture.

In another embodiment, the device further contains a desiccant material in the housing with an opening provided in the top of the housing over the desiccant, wherein the thin web covers the opening. In yet another embodiment, an absorbent material is in fluid contact with the wicking material, in such manner that sample is applied to the at the first aperture prior to absorbent material contacting the wicking material. In another variation, the device contains an absorbent material in fluid contact with the wicking material, in such manner that reverse flow of tracer or label, such as colored particles (e.g., latex), back onto the wicking material is prevented. In yet another embodiment, the absorbent material prevents the reverse flow of sample or sample components back onto the wicking material.

Another preferred embodiment discloses a method for constructing immunoassay devices, particularly those of the present invention, comprising the steps of providing a housing having an inside, an outside, and a top having a plurality of apertures communicating with the inside of the housing, inserting a web of wicking material inside the housing extending between first, second, and third the apertures, so that the web is accessible through the first, second, and third aperture is

10

15

20

25

located between the first and second apertures, applying a first reagent to the web through the second aperture, which first reagent is bound to the web, applying a second reagent to the web through the third aperture, which second reagent is mobile when liquid is added to the first aperture, and is adapted to cooperate with an analyte in a sample and the first reagent to create a signal indicative of the results of the assay, which signal can be read through the third window, and thereafter applying a thin web of opaque material over the top of the housing over the third aperture but not over the first and second apertures, form a first immunoassay device. The method for constructing immunoassay devices may further comprise the step of inserting an absorbent material into the housing before applying the top of the housing.

The method for constructing immunoassay devices may utilize a device wherein the top of the housing has an opening therethrough for receiving a desiccant, and may further comprise the steps of inserting a desiccant into the housing through the opening before applying the thin web, and applying the thin web over the opening.

Yet another embodiment of the method for constructing immunoassay devices includes the step of repeating the aforementioned steps, except that in the step of applying the thin web, at least one the aperture that was covered by the thin web in the first immunoassay is not covered, to form a second immunoassay device for performing an immunoassay different from that adapted to be performed by the first immunoassay device.

30

35

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a perspective view of a preferred embodiment of the device of the present invention.

FIG. 2 is a perspective view of the device of the present invention with a label thereon, illustrating one labelled format.

FIG. 3 is a perspective view of the device of the present invention illustrating another labelled format.

DETAILED DESCRIPTION

Referring to FIG. 1, there is shown an immunoassay 5 device 2, which is generally comprised of an upper "card" member 4 and lower "card" member 6. The upper and lower card members 4, 6 are adapted to mate together to form a The upper card member 4 may be provided with at housing. least six apertures, including a tracer loading port 14, 10 sample loading port 16, and test view ports 10 and 12. addition, the upper card member 4 is provided with a 'sample container/cup holding port 22 and an elongated aperture 8 into which a desiccant material may be placed. A wicking material 15, preferably nitrocellulose, is placed 15 between the upper and lower card members 4 and respectively, and it extends underneath ports 10, 12, 14 and 16 with a portion of wicking material 15 being visible through ports 10, 12, and 14. The wicking material 15 is thus held in place between card members 4 and 6. 20 a portion of wicking material 15 which is viewable through port 12 includes a binder specific for the analyte to be assayed and defines a test area. A first absorbent material, preferably in the form of an absorbent pad 18, is also placed between upper and lower card members 4 and 6, 25 respectively, in such a manner that absorbent pad 18 is visible through port 16. Pad 18 is preferably in fluid or flow contact with wicking material 15. An indicator material or signal-producing substance may be applied to wicking material 15 viewable through port 10, to provide a 30 signal indicating the completion of the assay or indicating a result. A second absorbent pad or "end" pad 26, shown in phantom lines on Fig. 1, is also placed between upper and lower card members 4 and 6 respectively, in such a manner 35 that, albeit it may not be observable from the outside of the device 2, it is in fluid or flow contact with wicking

WO 91/14942 PCT/US91/01974

-6-

5.

10

15

20

25

30

35

material 15, preferably at the opposite end of wicking material 15 from first absorbent pad 18.

As particularly shown, the sample loading port 16 is closer to the tracer loading port 14 than the viewing windows, whereby the capillary flow path between ports 16 and 14 is shorter than the capillary flow path between ports 16 and 12.

In an assay, a sample to be assayed is applied through test port 16, a binder has been applied at port 12, and tracer has been applied through port 14. The sample flows along the wicking material 15 and contacts tracer at port The sample then flows further along the wicking material 15 to test ports 12 and 10 respectively. hereinabove indicated, the flow path of the wicking material is set up in a manner such that the sample contacts the tracer on the wicking material 15 prior to contact of the sample with the binder at port 12. binding of tracer may then be determined through test port The presence and/or amount of analyte present in the sample may be determined by the presence and/or amount of tracer as determined through port 12 of the device 2. addition, if an indicator substance has been applied through test port 10, the completion of the assay may be determined.

In accordance with the preferred embodiment, as hereinabove described, by using an appropriate material as wicking material 15 (for example, nitrocellulose) and a tracer which may include a visible particulate label (such as colored latex), it is possible to determine tracer through port 12 without destruction of the label.

Although the embodiment has been described with respect to the use of a tracer which includes a visible particulate label, it is to be understood that other detectable labels may be employed within the spirit and scope of the invention. For example, labels such as enzyme labels, chromogen labels, fluorescent and/or absorbing dyes

10

15

may be used. In such cases, it may be necessary to add an additional substance in order to detect the label in port 12; for example, in the case of an enzyme label, a substrate may be added to produce detectible color in port 12. It is also possible to provide a second test port 10 to signal the end of the assay. In this manner, tracer which has not been bound in wicking material portion 12 may be determined. The presence and/or amount of tracer visible in port 12 may be employed to determine analyte alone and/or in conjunction with the presence and/or amount of analyte visible in port 10.

The invention can be better understood by way of the following examples which are representative of the preferred embodiments thereof, but which are not to be construed as limiting the scope of the invention.

Example I

A reaction unit 2 was constructed using two plastic "card" members 4 and 6. The cards were made 20 polystyrene. Upper card 4 has a thickness approximately 1mm. Lower card 6 has a thickness approximately 1mm and has walls extending perpendicularly upward on all four sides, said walls joined at their corners, with said corners preferably being rounded, as illustrated in Fig. 1. When top card member 4 and bottom 25 card member 6 are joined together, the unit has an apparent "thickness" of about 6mm. The top card member 4 has six apertures which include an elongated port 8, tracer loading port 14, sample loading port 16, sample cup holding port 22, wicking material visible under ports 10, 12, and 14, 30 and test ports 10 and 12. Ports 10, 12 and 14 have dimensions of approximately 10mm X 10mm., while application port 16 has a diameter of about 7mm. Aperture 8 has a dimension of about 20mm X 50mm, while aperture 22 has a 35 diameter of about 13mm.

Sample loading port 16 has shallow, sloping sides 20,

10

15

20

25

30

35

which slope downward toward a circular aperture in which an absorbent material or pad 18, which is connected to the wicking material 15, is located. In a preferred embodiment, pad 18 is located underneath a portion of wicking material 15. A filtering device (not shown) may also be placed atop the sample loading port 16 to filter out particulates or other materials that may be in a sample to be applied. In another embodiment, additional absorbent material, in the form of pad 26, may be placed in fluid contact with wicking material 15 adjacent to port 10, for example, to absorb additional fluids once the sample has been wicked past port 10.

First absorbent pad 18 visible through port receives the sample and releases it to the wicking material Pad 18 may comprise, for example, a compact cellulose material such as D28, 17 Chr. (Whatman Manufacturing Inc., NJ), a more porous material such as GF/A or GF/D (Whatman Manufacturing Inc., NJ), or extra thick glass fiber filter (such as that available from Gelman Sciences, Michigan), depending upon the type of sample to be tested using the Compact absorbent pads are suitable for aqueous samples such as urine, and porous pads are preferred for samples such as serum, plasma and blood. Essentially, the absorbent pad 18 retains the particulate or cellular fractions from the sample and allows the liquid portion to move towards the wicking material 15.

Absorbent end pad 26 preferably consists of materials that absorb and retain liquid and latex; this feature tends to restrict or prevent the reverse flow of latex, including colored latex. Without the end pad 26, the colored latex may begin to flow backwards approximately one hour after initiation of a test, and may appear in the "test complete" (port 10) and the "read result" (port 12) windows, resulting in a messy, uneven background. In addition, absorbent end pad 26 restricts or prevents the reverse flow of sample or sample components onto the wicking material.

Several absorbent pads were tested for their ability to prevent the reverse flow of colored latex in a reaction unit similar to reaction unit 2. The absorbent materials which appeared to be better than the control pad alone (Whatman D28, Whatman Manufacturing Inc., NJ was used as the control pad) are as follows:

- S&S 2727 (Schleicher and Schuell, W. Germany);
- Multiform SG145 (Multiform Desiccants Inc., NY);
- 3. Pall Ultipor GF Plus 1.0 µm (Pall BioSupport Corp.,
 10 NY) on top of Whatman D28. (The test materials were a thin layer; thus, they were layered on top of control pad D28 to have proper contact with the wicking material.);
- 4. Dri Mop Liquid Absorber (Multiform Desiccants Inc., NY) on Whatman D28, or Dri Mop applied directly on the wicking material (15). (The test materials were powder gel; thus, they were sprinkled on top of control pad D28 to have proper contact with the wicking material.);
 - 5. Ultra Pampers Plus Diapers (Proctor & Gamble, OH);
- 6. Gelok 3000, 4000, 5500 and 6000 A/F single/double
 ply laminate with #1080 polymer, Gelok 5000/Scrim 3A, Gelok
 5011-HS, Gelok 6000 Airlay/single ply laminate with IM-1000
 polymer (all from Gelok International Corp., OH), on top of
 Whatman D28. (As before, the test materials were a thin
 layer; thus, they were layered on top of control pad D28 to
 have proper contact with the wicking material.)

Although the invention has been described in the context of particular embodiments, it is intended that the scope of coverage of the patent not be limited to those particular embodiments, but be determined by reference to the following claims.

10

25

WE CLAIM:

- 1. A container for holding materials used in conjunction with an immunoassay, comprising:
 - a housing having an inside, an outside, and a top, wherein said top is provided with a plurality of apertures communicating with the inside of said housing; and
- a thin web of opaque material applied over the top of said housing covering at least one but not all of said apertures.
- 2. The container of Claim 1, further comprising:

 wicking material inside said housing extending

 between at least two of said apertures, wherein a

 first said aperture is adapted to receive a sample and
 deposit it on a first portion of said wicking

 material, and a second said aperture is adapted to
 permit viewing of the results of a completed assay on
 a second portion of said wicking material.
 - 3. The container of Claim 2, wherein said wicking material also extends to a third said aperture, which aperture is adapted to permit application of reagents to said wicking material before application of said thin web to said housing, which third aperture is covered by said thin web.
- 4. The container of Claim 3, further comprising absorbent material in fluid contact with said wicking material, wherein said sample is applied to said absorbent material at said first aperture.
- 5. The container of Claim 2, 3 or 4, wherein said wicking material also extends to a fourth said aperture, said fourth aperture adapted to permit viewing of a signal

PCT/US91/01974

on said wicking material indicative of completion of said assay.

- 6. The container of Claim 5, further comprising an absorbent material in fluid flow contact with said wicking material adjacent to said fourth aperture.
- 7. The container of Claim 2, 3, or 4, wherein the top of said housing further has a well formed therein for holding a container for liquid used in said assay.
 - 8. The container of Claim 2, 3 or 4, wherein the top of said container further has an opening therein for receiving a desiccant material, which opening is covered by said thin web.
 - 9. The container of Claim 1, wherein said thin web comprises paper.
- 20 10. An immunoassay device, comprising:

15

35

a housing having an inside, an outside, and a top, wherein said top is provided with a plurality of apertures communicating with the inside of said housing; and

wicking material inside said housing extending between at least three of said apertures, wherein a first said aperture is adapted to receive a sample and deposit it on a first portion of said wicking material, a second said aperture is adapted to permit viewing of the results of a completed assay on a second portion of said wicking material, and a third said aperture is located between said first and second apertures;

reagents used in said assay located on said wicking material beneath said third aperture; .

a well formed in said housing for holding a

container of liquid used in said assay; and

a thin web of opaque material applied over the top of said housing covering one or more of said well and said third aperture.

5

10

- 11. The device of Claim 10, further comprising an absorbent material in fluid contact with said wicking material, in such manner that sample is applied to said absorbent material at said first aperture prior to contacting said wicking material.
- 12. The device of Claim 10, further comprising an absorbent material in fluid contact with said wicking material, in such manner that reverse flow of tracer, label, specimen or specimen components back onto said wicking material is prevented.
- 13. The device of Claim 10, further comprising a desiccant material in said housing with an opening provided in the top of said housing over said desiccant, wherein said thin web covers said opening.
 - 14. An immunoassay device, comprising:
 - a housing having an inside, an outside, and a top, wherein said top is provided with a plurality of apertures communicating with the inside of said housing;

wicking material inside said housing;

reagents used in said assay located on said wicking material; and

an absorbent material in fluid contact with said wicking material, in such manner that reverse flow of tracer, label, specimen or specimen components back onto said wicking material is prevented.

25

30

10

15

20

25

30

15. A method for constructing immunoassay devices, comprising the steps of:

providing a housing having an inside, an outside, and a top having a plurality of apertures communicating with the inside of the housing;

inserting a web of wicking material inside said housing extending between first, second, and third said apertures, so that said wicking material is accessible through said first, second, and third apertures and said third aperture is located between said first and second apertures;

applying a first reagent to said wicking material through said second aperture, which first reagent is bound to said wicking material;

applying a second reagent to said wicking material through said third aperture, which second reagent is mobile when liquid is added to said first aperture, and is adapted to cooperate with an analyte in a sample and said first reagent to create a signal indicative of the results of said assay, which signal can be read through said third window; and thereafter

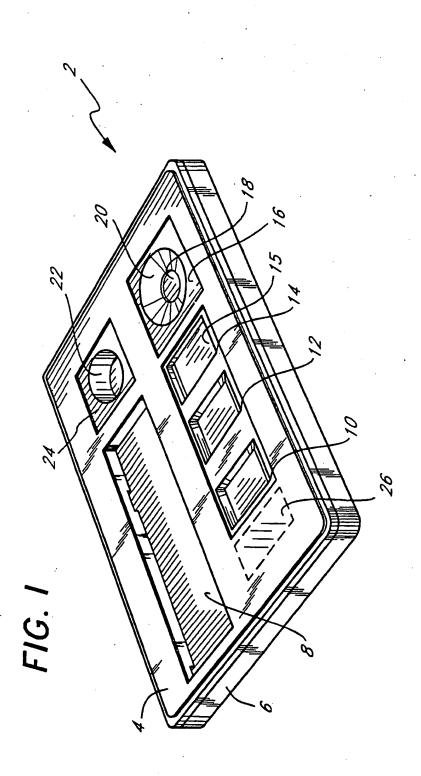
applying a thin web of opaque material over the top of said housing over said third aperture but not over said first and second apertures, to form a first immunoassay device.

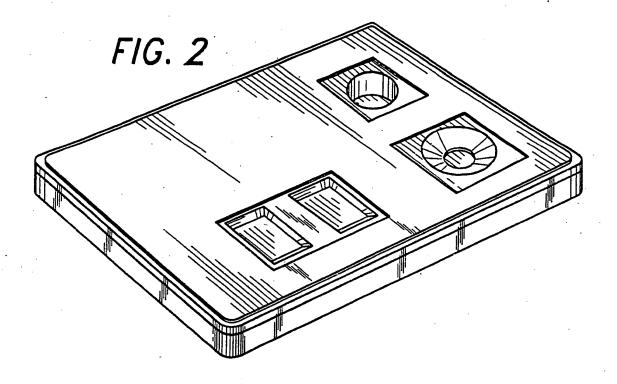
- 16. The method of Claim 15, further comprising the step of inserting an absorbent material into the housing before applying the top of the housing.
- 17. The method of Claim 15, wherein the top of said housing has an opening therethrough for receiving a desiccant, further comprising the steps of:

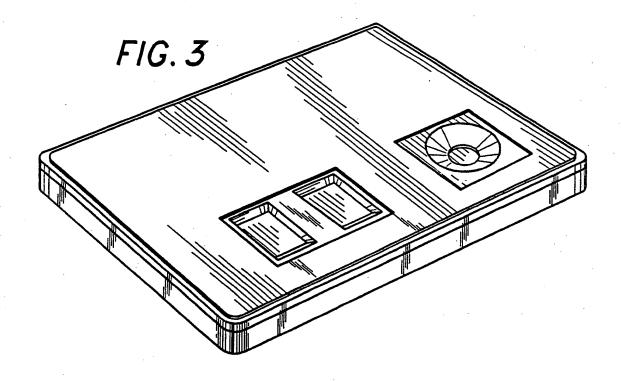
inserting a desiccant into said housing through
said opening before applying said thin web; and
applying said thin web over said opening.

-14-

18. The method of Claim 15, 16 or 17, further comprising the step of repeating the steps of the method, except that in the step of applying the thin web, at least one said aperture that was covered by said thin web in the first immunoassay is not covered, to form a second immunoassay device for performing an immunoassay different from that adapted to be performed by said first immunoassay device.







INTERNATIONAL SEARCH REPORT

International Applic 1 No. PCT/US91/01974

1. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) 4

According to international Patent Classification (IPC) or to both National Classification and IPC IPC(5): GO1N 31/22

U.S. CL: 422/56,57,58

II. FIELDS SEARCHED

	Minimum Documentation Searched 1
Classification System	Classification Symbols
I'S CT	/22/54 57 50

U.S.CL. 422/56,57,58

Documentation Searched other than Minimum Documentation to the Extent that such Documents are included in the Fields Searched 8

APS, search terms: Dessicant

III. DOCUMENTS CONSIDERED TO BE RELEVANT

Category •	Citation of Document, 11 with indication, where appropriate, of the relevant passages 12	Relevant to Claim No. 13	
<u>х</u> . Р	US, A. 4.943.522 (Eisinger et al.) 24 July 1990. See entire document.	14 1-13	
Y	US.A. 4.774.192 (Terminiello et al.) 27 September 1988. See Figure 5 and Col 14 line 66 to col 15 line 13.	1-13.15-18	
Y	GB.A. 2.204.398 (May et al) 09 November 1988. See entire document.	3-8.10-13 15-18	
Y	US.A. 4.855.240 (Rosenstein et al.) 08 August 1989. See entire document.	3-8.10-13. 15-18	
Y. P	US.A. 4.912.034 (Kalra et al.) 27 March 1990. See entire document.	6.12.14	
Y	EP.A. 0.323.605 (Deveraux et al) 12 July 1989, see pag 6 and 10.	1-18	
Y	US.A. 4857.453 (Ullman et al.) 15 August 1989. See entire document.	1-18	

- * Special categories of cited documents: 10
- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed
- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "A" document member of the same patent family

IV. CERTIFICATION

Date of the Actual Completion of the International Search

Date of Mailingof the International Search Report

05 June 1991

International Searching Authority

Signature of Authorized Officer

When Sockracust

Arlen Soderquist

ISA/US

Calegory •	Citation of Document,	O BE RELEVANT (CONTINUED FROM THE S		Relevant to	Claim No
				1.5.0.3 10	
		•			
7,P	US,A, 4,960,6	1-18			
	October 1990.				
•	US.A. 4.017 2	0 12 1	.		
-	April 1977.	61 (Svoboda et al). 12 See col. 4, lines 55-59.		8,13,1°	<i>,</i>
		•			
				,	
				1	
•					• .
			•		
					,
			-	ļ.	
•		•			
.					
.			·		. :
Ì					
ļ					
			·		
•	•				
	•	•			
.			•		
	·				
	•				